



## THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Appl. No. : 09/937,991  
 Applicant : Hirofumi Yura et al.  
 Filed : January 23, 2002  
 Title : "FUNCTIONALIZED GLYCOSAMINOGLYCAN POLYMER & MEDICAL INSTRUMENTS & DRUGS BY USING THE SAME"  
 Confirmation No. : 8819  
 TC/A.U. : 1623  
 Examiner : Ganapathy Krishnan  
 Customer No. : 116  
 Docket No. : 33944

REQUEST FOR REFUND

Commissioner of Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450  
 Attn: Refund Section, Accounting Division  
 Office of Finance

Sir:

The above-identified application was filed on January 23, 2002, wherein a check for \$630.00 was included. The check included the fee for filing the application, the Declaration and Power of Attorney fee and a multiple dependent claim fee.

However, on September 8, 2005, applicant's deposit account was erroneously charged a \$270.00 multiple dependent claim fee although it was paid for at the time of filing.

Accordingly, applicant hereby requests a refund in the amount of \$270.00.

Please credit the refund to our Deposit Account No. 16-0820, Order No: 33944.

Enclosed are the following in support of the request:

- PTO-1390 indicating the \$270.00 multiple dependent claim fee was paid for at the time of filing
- Copy of check stub dated September 28, 2001

Respectfully submitted,

PEARNE & GORDON LLP

By:

Jeffrey J. Sopko, Reg. No. 27676

1801 East 9th Street  
 Suite 1200  
 Cleveland, Ohio 44114-3108  
 (216) 579-1700  
 October 12, 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, ATTN: Refund Section, Accounting Division Office of Finance on the date indicated below.

FORM PTO-1390  
(REV. 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

33944

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.  
PCT/JP00/02012

INTERNATIONAL FILING DATE  
30 March 2000 (30.03.00)

PRIORITY DATE CLAIMED  
02 April 1999 (02.04.99)

TITLE OF INVENTION FUNCTIONALIZED GLYCOSAMINOGLYCAN POLYMER AND MEDICAL INSTRUMENTS AND  
DRUGS BY USING THE SAME

APPLICANT(S) FOR DO/EO/US YURA, Hirofumi; SAITO, Yoshio; ISHIHARA, Masayuki;  
ONO, Katsuaki; ISHIKAWA, Keiichi

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SURSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:  
English translation of Amendments Under Article 34 of PCT filed on October 27, 2000  
English translation of Amendments Under Article 34 of PCT filed on October 27, 2000

INTERNATIONAL APPLICATION NO  
PCT/JP00/02012

ATTORNEY'S DOCKET NUMBER  
33944

21. ☒ The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**  
Neither international nor national

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO	\$1000.00
International preliminary examination fee (37 CFR 1.482) paid to USPTO but International Search Report prepared by the EPO or JPO	\$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO	\$710.00
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International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....	\$710.00
International preliminary examination fee (37 CFR 1.482) .....	\$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO ..... \$690.00  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00  
**ENTER APPROPRIATE PAGE NO.**

ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	6 - 20 =	0	
Independent claims	1 - 3 =	0	x \$18.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			x \$80.00
TOTAL OF ABOVE			+ \$270.00

	+ \$270.0
<b>TOTAL OF ABOVE CALCULATIONS</b>	

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

**SUBTOTAL**

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

**TOTAL NATIONAL FEE =**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property +

**TOTAL FEES ENCLOSED**

Amount to be refunded:

**charged:**

- a. ☒ A check in the amount of \$ 630.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-0820. A duplicate copy of this sheet is enclosed.  
Order no. 33944
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.
- NOTE: When

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

**THE UNIVERSITY OF CHICAGO**

PATENT &amp; TRADEMARK OFFICE

**SIGNATURE**

Jeffrey J. Sopko

NAME \_\_\_\_\_

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PEARNE & GORDON LLP

*just*

09/28/2001 S&P.33944

APPLICATION

48882

630.00

\*\*\*\*\*630.00

United States Patent and Trademark Office  
- Sales Receipt -

09/08/2005 PSTANBAC 00000001 160820 09937991

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Adjustment Date: 11/22/2005 SDIRETA1  
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01 FC:1203 270.00 CR